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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/383,742	08/26/1999	RABINDRANATH DUTTA	AT9-99-310	6984

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EXAMINER

EDOUARD, PATRICK NESTOR

ART UNIT PAPER NUMBER

2654

DATE MAILED: 07/21/2003

6

Please find below and/or attached an Office communication concerning this application or proceeding.

2

# Office Action Summary

Application No.  
09/383,742

Applicant(s)  
DUTTA ET AL

Examiner  
Patrick N. Edouard

Art Unit  
2654



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_\_
- 2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-38 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-38 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some\* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\*See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892) 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948) 5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s). 5 6) ☐ Other:

Art Unit: 2654

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1- 2 and 7-14 are rejected under 35 U.S.C. 102(b) as being anticipated by Smith et al (Transcoding Internet Content for Heterogeneous Client Devices).

As per claim 1, Smith et al teach a transcoder processing system implemented method for converting documents based on semantic characteristics, comprising (figure 1, his Internet content Transcoding system, pages III-599 to III-602):

“Receiving a request for a document client”( his client device , page III-599, section 2, His Internet content transcoder, a policy engine gathers the capabilities of the client, the networks conditions and the Transcoding preference of the user and publisher)’

“Passing the request to an origin server”( the request from the client server is passed to the publisher (server));

“Performing a syntactical Transcoding on the request document wherein at least one semantic at least one semantic characteristic of the request document is converted”( figure 1, his Internet content transcoder , Section 2, page III-599, the system selects the outputting

Unit: 2654

versions of the contents and uses a library of content analysis, filtering translation and manipulation routines to generate the content to be delivered to the client); and

“Sending the requested document to the client”( the system is able to retrieve the Internet content , analyze and transcode it and deliver it to the client, III-599 -III-600).

As per claim 2, Smith et al teach receiving at least one semantic characteristic preference from the client... automatically converted based on the at least one semantic characteristic preference”( figure 1, his client preference, a policy engine gathers the capabilities of the client and the Transcoding preference of the user and publisher, Section 2, His Internet Content Transcoder III-599).

As per claim 7 and 8, wherein the semantic characteristic is the conformance with specified governmental regulations ( his policy engine, page III-601, the trasncoding proxies generates and selects versions of the content according to policies (governmental regulations))

As per claim 9 and 10 wherein the semantic characteristic preference specified by the client is conformance with specified content filtering in the requested document (figure 1, His Internet Content Transcoder in particular his content filtering, pages III-600 and III-601)

As per claim 11 and 12, Smith et al teach wherein the semantic characteristic specified by the client is the natural language requested document (figure 1, His Internet Content Transcoder in particular section 3.1, his translation and summarization, pages III-600 and III-601)

As per claims 13 and 14, Smith et al teach comparing the at lest one semantic characteristic preference received from the client with semantic conversion features supported by

Art Unit: 2654

the transcoder processing system (section 4, His Transcoding system in particular section 4.1 his policy engine, III-601).

### *Claim Rejections - 35 USC § 103*

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 3-4 and 5-6 are rejected under 35 U.S.C. 103(a) as being unpatentable over

Smith et al (Transcoding Internet Content For Heterogeneous Client Devices).

As per claims 3 and 4, Smith et al teach the semantic characteristic preference specified by the user (his transcoding preference of the user) but does not explicitly teach semantic characteristic preference specified by a client is readability level of the requested document".

However, Official Notice is taken that this feature is well known in the linguistic art. Therefore, one having ordinary skill in the art at the time the invention was made would have it obvious to recognized that the characteristic preference of the user could be the readability level of the requested document because it would allow facilitate the reading and the understanding of the document.

Art Unit: 2654

As per claims 5 and 6, Smith et al teach the semantic characteristic preference specified by the user (his transcoding preference of the user) but does not explicitly teach semantic characteristic preference specified by a client is locale. However, Official Notice is taken that this feature is well known in the linguistic art. Therefore, one having ordinary skill in the art at the time the invention was made would have it obvious to recognize that the characteristic preference of the user could be the locale because it would facilitate the understanding of the document in a locale which the user is familiarize with.

5. Claims 15-38 are the same in scope and content as claims 1-15 above and therefore are rejected under the same rationale.

6. Any response to this action should be mailed to:

Commissioner of Patents and Trademarks

Washington, D.C. 20231 or faxed to:

(703) 308-9051, (for formal communications intended for entry) Or:

(703) 305-9508 (for informal or draft communications, please label "PROPOSED" or "DRAFT")

Hand-delivered responses should be brought to Crystal Park 11, 2121 Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

Art Unit: 2654

6. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Patrick N. Edouard whose telephone number is (703) 308-6725. The examiner can normally be reached on Tuesday-Friday from 07:30 a.m.-6:00 p.m.

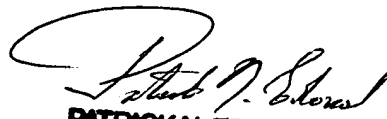
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Marsha Banks-Harold, can be reached on (703) 305-4379.

The facsimile phone number for this Art Unit is (703) 305-9508. Alternatively, facsimile messages may be sent directly to (703) 305-9644 where they will be stored in the examiner's voice mailbox (telling the examiner that a fax was received) and be automatically printed (i.e. - no delay by the examiner).

Any inquiry of a general nature or relating to the status of this application should be directed to the Group receptionist whose telephone number is (703) 305-3900.

Patrick N. Edouard

June 25, 2003



**PATRICK N. EDOUARD**  
**PRIMARY EXAMINER**